RISK ANALYSIS FORM

1.Your Name:……………………………………

2. Contact Number..

3. Email Id…………………………………………

4. PAN No…………………………………………

Process of client risk profiling

Clients are asked following questions and based on their responses, we allot following weight age to risk profiling questions and find out risk appetite of the

Questionnaire for risk profiling of the client

1.What is your age group?

○ Below 20 Year ○ 20 to 30 Year ○ 30 to 65 Year ○ Above 65 Year

2. **What is your occupation?**

○ Self employed or officer line ○ Employees ○ Student or senior citizen ○ Business man who have more than a business

3.Your involvement on trading

○ Rarely traded ○ Traded sometimes ○ You are a full time trader ○ You are a part time trader

4. You spend time (Experience) in market

○ 2 to 5 year ○ More than 5 year ○ Less than 1 year>24 Months ○ 1 to 2 year

5. Chose your desired time period for investment

○ Intraday ○ 15 to 30 days ○ 2 to 5 days ○ 5 to 15 days

6. How many dependents do you financially support ?

- None
- Between 1-3
- 4+

7.Purpose of your investment, in terms of earning profit

- 10 to 20 % Monthly return on investment
- More than 40 % Monthly return on investment
- 20 to 30 % Monthly return on investment
- 30 to 40 % Monthly return on investment

8.Your yearly income by all sources

- More than 10 lakh
- 5 to 10 lakh
- 3 to 5 lakh
- Below 3 lakh

9.Your existing investment / assets

- 2 to 5 lakh
- 5 to 10 lakh
- Below 2 lakh
- More than 10 lakh

10. Your risk tolerance capacity, in terms of loss in your investment amount which You can bear

- less than 20 % on your investment amount
- More than 40 % on your investment amount
- 30 to 40 % on your investment amount
- 20 to 30 % on your investment amount

11. The % of borrowing fund on your total investment amount

- 30 to 40 % on your investment amount
- 20 to 30 % on your investment amountamountm
- Less than 20 % on your investment amount
- More than 40 % on your investment amount

12.What is the size of your emergency fund

- less than 1 month
- 1-3 month income
- 3-6 month income
- more than 6 month

CLIENT DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I will be held liable for it. I have read all the terms and conditions and risk discloser of Arrive Investment Advisor and I agree to take the service .

RISK DISCLOSURE

PLEASE READ THE BELOW BEFORE SUBSCRIBE ANY SERVICE WITH US.

Investments in Securities and commodities are subject to market and other risks and there is no assurance or guarantee that the objectives of any Services will be achieved. Traders/Investors in the services are not being offered any guaranteed/assured returns. Past performance[s] of these types of investments does not guarantee any future results. We do not have any refund & cancellation policy. All sales are final. Before subscriptions ensure that our products and services will meet your needs without the need to purchase, there will be absolutely no refund & cancellations. We do not offer refunds on subscriptions that have already been taken. By registering for service on website www.arriveinvestmentadvisor.com you have accepted all the terms and conditions. Prior to trading securities and commodities you must be aware of the risks involved. The high degree of leverage associated with these types of investments means that the degree of risk compared to other financial products is higher. Leverage (or margin trading) may work against you resulting in substantial loss. Arrive Investment Advisor accepts no liability for any loss, tax, commission, brokerage you may be required to pay on any profits/loss made during the service tenure with us. Trading on Margin involves a high level of risk and is not suitable for all investors. The high degree of leverage can work against you as well as for you and the speeds which profits and losses can occur, means that clients should monitor positions closely – it is the clients' sole responsibility to monitor open trades. Before trading, you should carefully consider your investment objectives, level of financial experience, risk appetite and suitability of service offered by Arrive Investment Advisor. If you are at all unsure as to the suitability of the products offered by Arrive Investment Advisor. Please seek other/independent financial adviser. Only surplus funds should be placed at risk and anyone who does not have such funds should not participate in trading securities and commodities.

Note : Clients with Long term Investment goal as investment objectives are not accepted at Arrive Investment Advisor.

Your Total Score……………………….

Date:

Place: